



Reviews of Marking and Moderation – DR1

Guide to the data submission process

September 2019

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Reviews of marking and moderation

Introduction

In order to fulfil our regulatory and accreditation functions as set out in the Qualifications Wales Act 2015¹, we require awarding bodies to provide exams data for regulated qualifications.

This guidance is based on Ofqual's *Review of Marking and Moderation – DR1*² document.

When will data be collected?

Data will be collected according to the reporting schedule which is agreed and maintained by Qualifications Wales' statistics team.

What data will be collected?

Reviews of marking and moderation data will be collected for GCSE, AS, A level, Level 1/Level 2 certificates, Advanced Extension Award (AEA) and Welsh Bacallaureate Qualification Skills Challenge Certificate.

¹ <http://www.legislation.gov.uk/anaw/2015/5/contents/enacted>

² https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/742682/Guidance_for_Reviews_of_Marking_and_Moderation_DR1.pdf

General completion guidelines

Please see appendix A (page 10) for further clarification of field values.

- This data return is for data relating to learners in Wales only.
- Numeric values must not have any formatting.
- Where applicable data should be submitted at subcomponent level.
- Unit UMS fields should be overall unit UMS values, even if row of data being reported relates to subcomponents.
- For rows of data that relate to subcomponents, the value entered in the Unit Max. Raw Mark field should be the sub component maximum raw mark.
- For service 3, the value entered in the Date Received field should be the date the moderator received the sample.
- For common units (that can be used to certificate against both AS and/or A level), if a review of marking request is made, and the candidate is certificating for both AS and A2, only the A2 row should be present in the data.
- The following fields will accept -2 to represent unknown for candidates that are not certificating:

Field name	Notes
QAN	-2 for unknown e.g. cases where candidate is not certificating
Specification code	For non-certificating candidates taking units/components that can be used against multiple specifications
Original unit raw mark	-2 accepted for absences
Post EaR raw mark	-2 accepted for reviews still in process, so post-review mark not yet established
Original unit UMS	-2 accepted for subjects that do not convert to UMS
Post EaR UMS	-2 accepted for subjects that do not convert to UMS
Original qualification UMS (modular) / Scale score (linear)	-2 accepted for candidates not certificating
Post EaR qualification UMS (modular) / Scale score (linear)	-2 accepted for candidates not certificating
Original qualification grade	-2 accepted for candidates not certificating
Post EaR qualification grade	-2 accepted for candidates not certificating
Total No. of awards/certifications	-2 accepted for candidates not certificating

File format

The file must be CSV format and the first row must be headers as displayed in the 'Name' column of the table below. The subsequent rows must have the values of the data to be reported which must match the format and validation rules below.

Name	Description	Position	Validation Regular Expression	Description of Regular Expression
Exam Series	Exam series data relates to e.g. June 2018	1	^(January March June November)([][0-9][0-9][0-9])\$	Full month name of exam series and year.
Reporting date	Date the file was due to be submitted regardless of when it was actually uploaded. Format accepted 120 ODBC canonical yyyy mm-dd .	2	^(20)\d\d[-](0[1-9] 1[012])[-](0[1-9] [12][0-9] 3[01])\$	The date in the following format: year (four digits), a dash, month (two digits), a dash, day (two digits).
Awarding Organisation	Name of the awarding organisation	3	^.{1,100}\$	1 to 100 characters accepted
Centre No.	Centre number (NCN)	4	^\d{1,5}\$	Up to five-digit integer accepted
Unique Candidate Identifier	Unique Candidate Identifier (UCI)	5	^.{1,13}\$	Alpha-numeric: 13 characters accepted
QAN	Qualification Accreditation Number e.g. 123/1234/1	6	^.{1,10}\$	1 to 10 characters accepted -2 also accepted
QWNumber	QW Approval/ Designation Number, for example C00/1234/1	7	^.{1,10}\$	1 to 10 characters accepted, beginning with C A blank value is accepted if the qualification does not have a QW number -2 also accepted
Specification title	Specification title also known as subject title	8	^.{1,150}\$	1 to 150 characters accepted 'Not Certifying' also accepted
Specification code	Specification code also known as Subject Award Code and Cash in Code	9	^.{1,7}\$	1 to 7 characters accepted -2 also accepted
Qualification level	Level of qualification e.g. GCSE	10	^(GCSE GCSE linear GCSE short course GCSE full course AS A level A-level App A Level	One of the following list: GCSE, GCSE linear, GCSE short course, GCSE full course, AS, A level, App A Level DbI,

			Db App A Level Sgl App AS Db App AS Sgl Level 1/Level 2 Certificates AEA Project L1 Project L2 Project L3 National/Foundation Skills Challenge Certificate Advanced Skills Challenge Certificate Foundation (post 16) Skills Challenge Certificate National (post 16) Skills Challenge Certificate)\$	App A Level Sgl, App AS Db, App AS Sgl, Level 1/Level 2 Certificates, AEA, Project L1, Project L2, Project L3, National/Foundation Skills Challenge Certificate, Advanced Skills Challenge Certificate, Foundation (post 16) Skills Challenge Certificate, National (post 16) Skills Challenge Certificate
Original unit raw mark	Original unit raw mark	11	$\wedge\{d\{1,3\}\}$	Up to three-digit integer accepted -2 also accepted
Post EaR raw mark	Post-review raw mark	12	$\wedge\{TBD\{d\{1,3\}\}\}$	Up to three-digit integer or TBD accepted -2 also accepted
Original unit UMS	Original unit UMS Unit UMS fields should be overall unit UMS values, even if row of data being reported relates to subcomponents.	13	$\wedge\{d\{1,3\}\}$	Up to three-digit integer accepted -2 also accepted
Post EaR UMS	Post-review UMS Unit UMS fields should be overall unit UMS values, even if row of data being reported relates to subcomponents.	14	$\wedge\{TBD\{d\{1,3\}\}\}$	Up to three-digit integer or TBD accepted -2 also accepted
Original qualification UMS (modular) /	Original qualification UMS (modular) / Scale score (linear)	15	$\wedge\{d\{1,3\}\}$	Up to three-digit integer with up to two decimal places

Scale score (linear)				-2 also accepted
Post EaR qualification UMS (modular) / Scale score (linear)	Post-review qualification UMS (modular) / Scale score (linear)	16	^\d{1,3}\$	Up to three-digit integer with up to two decimal places -2 also accepted
Original qualification grade	Original qualification grade	17	^(A* A*A* A*A A Star A Star A Star A Star A AA AB BB BC C C CD DD DE EE EF FF FG GG UU A B C D E F G U 1 2 3 4 5 6 7 8 9 1-1 2-1 2-2 3-2 3-3 4-3 4-4 5-4 5-5 6-5 6-6 7-6 7-7 8-7 8-8 9-8 9-9 P P* -2)\$	One of the following list: A*, A Star, A*A*, A Star A Star, A*A, A Star A, AA, AB, BB, BC, CC, CD, DD, DE, EE, EF, FF, FG, GG, A, B, C, D, E, F, G, U, 1-9, 1- 1 to 9-9, P, P* and any of the grades listed, followed by a number from 1- 5 e.g. C3. -2 also accepted
Post EaR qualification grade	Post-review qualification grade	18	^(A* A*A* A*A A Star A Star A Star A Star A AA AB BB BC CC C D DD DE EE EF FF FG GG UU A B C D E F G U 1 2 3 4 5 6 7 8 9 1-1 2-1 2-2 3-2 3-3 4-3 4-4 5-4 5-5 6-5 6-6 7-6 7-7 8-7 8-8 9-8 9-9 P P* -2 TBD)\$	One of the following list: A*, A Star, A*A*, A Star A Star, A*A, A Star A, , AA, AB, BB, BC, CC, CD, DD, DE, EE, EF, FF, FG, GG, A, B, C, D, E, F, G, U, 1-9, 1- 1 to 9-9, P, P* and any of the grades listed, followed by a number from 1- 5 e.g. C3, or TBD accepted -2 also accepted
Unit code	Unit code	19	^\.{1,10}\$	1 to 10 characters accepted
Unit title	Unit title	20	^\.{1,150}\$	1 to 150 characters accepted
Unit max. raw mark	Unit maximum raw mark For rows of data that relate to subcomponents, the value entered, should	21	^\d{1,3}\$	Up to three-digit integer accepted

	be the subcomponent maximum raw mark			
Total No. of unit entries	Total Number of unit entries	22	^\d{1,6}\$	Up to six-digit integer accepted
Total No. of awards/certifications	Total Number of awards/certifications	23	^\d{1,6}\$	Up to six-digit integer accepted -2 also accepted
Service	Type of service e.g. 2	24	^(1 2 P2 3 1S 2S 2P 2PS 5 9 EXT)\$	One of the following list: 1, 2, P2, 3, 1S, 2S, 2P, 2PS, 5, 9, EXT
Marking method	Marking method	25	^(Full Script Paper Based Full Script Electronic Item Based Electronic Internal Assessment)\$	One of the following list: Full Script Paper Based, Full Script Electronic, Item Based Electronic, or Internal Assessment
Date received	Date received For service 3, the value entered, should be the date the moderator received the sample.	26	^(20)\d\d[-](0[1-9] 1[012])[-](0[1-9] 12)[0-9]3[01])\$	DATETIME in the following format: year (four digits), a dash, month (two digits), a dash, day (two digits), a space, hour (two digits), a colon, minutes (two digits), a colon, seconds (two digits).
Date completed	Date completed	27	^(20)\d\d[-](0[1-9] 1[012])[-](0[1-9] 12)[0-9]3[01])\$	DATETIME in the following format: year (four digits), a dash, month (two digits), a dash, day (two digits), a space, hour (two digits), a colon, minutes (two digits), a colon, seconds (two digits). 2999-12-31 00:00:00.000 or 'still in process' accepted
Completed within deadline	Completed within deadline	28	^(Y N TBD)\$	One of the following list: Y, N, TBD

Appendix A

DR1 - Review of Marking and Moderation		
Field Name	Exemplar value	Notes
Exam Series	June 2018	Month followed by the year for each exam series, e.g. June 2018, November 2018
Reporting date	2018-06-01	Date in the following format: year (four digits), a dash, month (two digits), a dash, day (two digits)
Awarding Organisation	WJEC	One to one hundred characters accepted
Centre No.	12345	Five digit integer accepted
Unique Candidate Identifier	123456789012T	Alpha numeric 13 characters accepted
QAN	123/1234/1	Alpha Numeric - Qualification Accreditation Number or -2 for unknown e.g. cases where candidate is not certifying
QW Number	C00/1234/1	Alpha Numeric beginning with C - Qualifications Wales Number. A blank value is accepted if the qualification does not have a QW number
Specification title	Mathematics	Specification title. One, to one hundred and fifty characters accepted. 'Not Certifying' also accepted. Preferred if no commas in text, but if present must be enclosed by speech marks
Specification code	1650	Also known as 'Subject Award Code' and 'Cash-in Code'. NB. Codes must exist on the JCQ classifications-entries spreadsheet. -2 also accepted to represent unknown, for candidates not certifying, but taking units/components that can be used against multiple specifications.
Qualification level	GCSE	Accepted values: GCSE, GCSE linear, GCSE full course, GCSE short course, AS, A level, AEA, Level 1/Level 2 Certificates
Original unit raw mark	40	Three digit integer accepted or -2 for absences. Note: If examiner marks are adjusted to correct consistent variance from the standard, the original raw mark provided in the DR1 data, should be the value following this adjustment process, not before.
Post EaR raw mark	42	Three digit integer. -2 accepted where the post-review raw mark is unknown
Original unit UMS	50	Three digit integer accepted. Float also accepted for a three digit integer with up to two decimal places. -2 accepted for subjects that do not convert to UMS. For rows of data that relate to sub components, the value entered in this field, should be the overall unit UMS marks.
Post EaR UMS	51	Three digit integer accepted. Float also accepted for a three digit integer with up to two decimal places. -2 accepted for subjects that do not convert to UMS or value unknown. For rows of data that relate to sub components, the value entered in this field, should be the overall unit UMS marks.
Original qualification UMS (modular) / Scale score (linear)	40	Three digit integer accepted. Float also accepted for a three digit integer with up to two decimal places. -2 accepted for subjects that do not convert to UMS. Note: This should be the overall qualification UMS before any reviews have been processed, ignoring any changes that occur at unit level. Candidates with multiple reviews on the same specification should have consistent original qualification UMS across all units.
Post EaR qualification UMS (modular) / Scale score (linear)	40	Three digit integer accepted. Float also accepted for a three digit integer with up to two decimal places. -2 accepted for subjects that do not convert to UMS or value unknown. Note: This should be the overall qualification UMS after any reviews have been processed, ignoring any changes that occur at unit level. Candidates with multiple reviews on the same specification should have consistent post qualification UMS across all units.
Original qualification grade	D	One of the following list: A*, A Star, A*A*, A Star A Star, A*A, A Star A, AA*, AA, AB, BB, BC, CC,CD,DD, DE, EE,EF, FF, FG, GG, A, B, C, D, E, F, G, U, 1-9, 1-1 to 9-9 and any of the grades listed, followed by a number from 1-5 e.g. C3, or TBD accepted. -2 also accepted for unknown value. Note: This should be the overall qualification grade before any reviews have been processed, ignoring any changes that occur at unit level. Candidates with multiple reviews on the same specification should have a consistent original qualification grade across all units.
Post EaR qualification grade	C	One of the following list: A*, A Star, A*A*, A Star A Star, A*A, A Star A, AA*, AA, AB, BB, BC, CC,CD,DD, DE, EE,EF, FF, FG, GG, A, B, C, D, E, F, G, U, 1-9, 1-1 to 9-9 and any of the grades listed, followed by a number from 1-5 e.g. C3. -2 also accepted for unknown value. Note: This should be the overall qualification grade after any reviews have been processed, ignoring any changes that occur at unit level. Candidates with multiple reviews on the same specification should have a consistent post qualification grade across all units.
Unit code	MA02	One to ten characters accepted. Note: If a unit has sub components, they should be identifiable from a unique value within the unit code e.g. MA02 1, MA02 2.
Unit title	Maths Unit 2	One to one hundred and fifty characters accepted. Preferred if no commas in text, but if present must be enclosed by speech marks.
Unit max. raw mark	60	Three digit integer accepted. For rows of data that relate to sub components, the value entered in this field, should be the sub component maximum raw mark.
Total No. of unit entries	5000	Six digit integer accepted
Total No. of awards/certifications Service	1250	Six digit integer accepted and -2
Marking method	Full Script Paper Based	Values 1,2,3, 5, 9 or P2, 1S, 2S, 2P, 2PS, EXT accepted
Date received	27/08/2015 15:12	One of the following list: Full Script Paper Based, Full Script Electronic, Item Based Electronic, Internal Assessment DATETIME in the following format: year (four digits), a dash, month (two digits), a dash, day (two digits), a space, hour (two digits), a colon, minutes (two digits), a colon, seconds (two digits). For service 3, the value entered in the Date received field, should be the date the moderator received the sample
Date completed	29/08/2015 00:00	DATETIME in the following format: year (four digits), a dash, month (two digits), a dash, day (two digits), a space, hour (two digits), a colon, minutes (two digits), a colon, seconds (two digits). For EaRs that have not been completed at the time data is reported a value of 2999-12-31 00:00:00.000 may be entered or 'still in process' .

Contacts

For all queries relating to the data collection process, amendments to data previously provided, or for general guidance, please contact:

Qualifications Wales

Q2 Building

Pencarn Lane

Imperial Park

Coedkernew

Newport

NP10 8AR

Telephone 01633 373 222

Email: datapoint@qualificationswales.org